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The China Center for Economic Research
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July 2007

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EDUCATION

B.S. of Geography, Beijing University, 1986.
Major: Economic Geography.
Thesis: A Study of the Geographical Causes of an Endemic Disease in Southern Ninxia.

M.S. of Economics, Beijing University, 1989.
Major: Economic Development.
Major Professor: Justin Yifu Lin.
Thesis: Surplus Labor in Chinese Agriculture: Theory and Reality.

Ph.D., Agricultural and Applied Economics, University of Wisconsin-Madison, 1996.
Major: Economic Development.
Major Professor: Michael R. Carter.
Dissertation: Three Essays on the Implications of Market and Institutional Imperfections in Rural China.

RESEARCH INTERESTS

Economic development and institutional change in China.

WORK EXPERIENCE

Deputy Director, China Center for Economic Research, Peking University, Beijing China. November 2003 –

Director, Management Committee, Institute for Social Science Survey. November 2006 –.

Professor, China Center for Economic Research, Beijing University, Beijing China. August 2002 –.

Visiting Scholar, Department of Sociology, Cornell University, August 2007 – January 2008.

Visiting Professor, Center for International Development, Stanford University, July – September 2003.

Visiting Professor, Graduate School of International Relations, International University of Japan, April – June 2002.

Visiting Associate Professor, Department of Agricultural and Applied Economics, University of Wisconsin-Madison, January - June 2000.

Associate Professor, China Center for Economic Research, Beijing University, Beijing China. July 1999 – December 2002.

Assistant Professor, China Center for Economic Research, Beijing University, Beijing China. January 1997 to June 1999.

Management Assistant, Xi'an Electric Manufacturing Corporation, Xi'an, China. August 1989 to August 1991.

COURSES TAUGHT

Advanced Microeconomics, CCER, spring 1997, fall 1997, spring 1998, spring 1999, fall 2001;
Graduate School, Chinese Academy of Social Sciences, spring 1997.
Chinese Economy, UW-Madison, spring 2000; Peking University Cambridge China Study program,
2004, 2005.

Development Economics, CCER undergraduate double major, spring 2004, 2005, 2006, 2007; CCER master and Ph.D. program, fall 2003, 2004, 2005, 2006; International University of Japan, spring 2002.

Evolutionary Game Theory and Institutional Analysis, CCER, spring 2003, 2005, 2006.

Managerial Economics, BiMBA, fall 2002, fall 2003.

Agricultural Economics, International University of Japan, spring 2002.

Law and Economics, double major, CCER and graduate program, Law School, spring 2001 (with ZHAO, Xiaoli of Law School).

Business Mathematics, BiMBA in CCER, fall 1999, 2000.

MIS and Introduction to Computer, BiMBA in CCER, fall 1998.

REFEREES

American Economic Review, American Journal of Agricultural Economics, Economic Development and Cultural Change, Economic Journal, Economics of Transition, Journal of Comparative Economics, Journal of Development Economics, Journal of Theoretical and Institutional Economics, Urban studies, China Social Science Foundation (2002), China Development Research Foundation (2001, 2003), Economic & Social Research Council, Great Britain (2000), 1999 National Soft Science Research Grants Competition (1999)

HONORS AND FELLOWSHIPS

First Prize, "Reforms, Public Services and Poverty", (with Yan Shen) the 8th GDN conference, January 15, 2007, Beijing.

Fellow, India-China Institute, The New School, 2006-2008.

The Reward of Rural Development Studies, 2006.

Best Teaching Award, Peking University, 2006.

Best Teacher, Student Union, Peking University, 2006.

Yang Fuqing-Wang Yangyuan Teaching Award (Best), Peking University, 2005.

The New Century Scholars Plan, 2005

Second Prize, The Eighth Paper Awards in Humanities and Social Sciences, Beijing University, 2001.

The New Century 100 Scholars Project, 2001-05, Beijing municipal government.

Second Prize, The Seventh Paper Awards in Humanities and Social Sciences, Beijing University, 1999.

Hou Yingtong Young Faculty Research Fellowship, \$7,500, Ministry of Education, January 2000.

Robert S. McNamara Scholarship, \$7,500, World Bank, May 2000.

Exchange Scholarship, \$10,000, Committee on Scholarly Communication with China, 2000.

Returning Scholarship, \$25,000, the Ford Foundation, 1997 - 1998.

Gamma Sigma Delta, The International Honor Society of Agriculture, admitted 1995.

MEO Fellowship, University of Wisconsin-Madison, \$4500, spring semester, 1995.

Sun Ya-shan Midwest Fellowship, \$2000, 1995.

The "May Fourth" Scientific Treatise Award, Beijing University, 1985.

PROFESSIONAL POSITIONS AND AFFILIATIONS

Member, Academic Committee, Social Science Division, Peking University, 2005 –

Fellow, China Academy of Health Policy, Peking University, October 2002 –

Research Fellow, Unirule Institute, Beijing, China, 1998 –

Editor, *China Economic Quarterly*, April 2001 –

Associate editor, *Agricultural Economics*, 2007 – 2010.

Editorial Board, *China Economic Journal, China Journal of Finance, Fudan Economic Papers, Journal of Rural Cooperatives, Public Policy Review*

Chinese Economist Society, 1993 – (Board of Directors, 1998 – 1999; Publication Committee, 2004 -)

American Economic Society, 1997 –

International Society of Comparative Economics, 2003 –

The Royal Economic Society, 2006 –

Development Economics Sub-society, The Chinese Society for Foreign Economic Thoughts, 2006 – (Academic Committee, 2006 -)

LANGUAGES

Chinese (native), English (fluent).

ENGLISH PUBLICATIONS

Refereed Journal Articles

- Wang, Shuna and Yang Yao. "Grassroots Democracy and Local Governance: Evidence from Rural China." *World Development*, 2007.
- Yao, Yang. "Village Elections, Accountability, and Income Distribution in Rural China." *China & World Economy*, 2006, Vol. 14, No. 6: 20-38.
- Bromley, Daniel, and Yang Yao. "Understanding China's Economic Transformation: Are There Lessons for the Developing World?" *World Economics*, April-June 2006, 7(2): 73-96.
- Gao, Mengtao, and Yang Yao. "Gender Gaps in Access to Healthcare in Rural China." *Economic Development and Cultural Change*, 2006, Vol. 55(1): 87-107..
- Garnaut, Ross, Ligang Song, and Yang Yao. "Impact and Significance of State-Owned Enterprise Restructuring in China." *China Journal*, January 2006, Issue 55: 35-66.
- Song, Ligang and Yang Yao. "Impacts of Privatization on Firm Performance in China." *Social Sciences in China*, January 2006.
- Yao, Yang. "Chinese Privatization: Causes and Outcomes." *China and World Economy*, 2004, Vol. 13(1): 66-80.
- Guo, Kai and Yang Yao. "Causes of Privatization in China: Testing Several Hypotheses." *Economics of Transition*, 2005, Vol. 13(2): 211-238 (lead paper).
- Yao, Yang. "Land Tenure Choice in Chinese Villages: The Rational versus the Political Model." *Land Economics*, 2004, 80(4): 477-488 (lead paper).
- Yao, Yang. "Political Process and Efficient Institutional Change." *Journal of Institutional and Theoretical Economics*, 2004, 160(3): 439-453.
- Rong, Zhao and Yang Yao. "Public Service Provision and the Demand for Electric Appliances in Rural China." *China Economic Review*, 2003, 14(2): 131-141.
- Carter, Michael and Yang Yao. "Local versus Global Tests of Separability in Agricultural Household Models: The Factor Price Equalization Effect of Land Transfer Rights." *American Journal of Agricultural Economics*, 2002, 84(3): 702-715.
- Li, Jing and Yang Yao. "Egalitarian Land Distribution and Labor Migration in Rural China." *Land Reform, Land Settlement and Cooperatives*, FAO, 2002(1): 80-91.
- Wang, Yueping and Yang Yao. "Market Reforms, Technological Capabilities and the Performance of Small Enterprises in China." *Small Business Economics*, Vol. 18(2002), Nos. 1-3: 197-211.
- Yao, Yang. "The Development of the Land Lease Market in Rural China." *Land Economics*, Vol. 76(2000): 252-266.
- Yao, Yang. "Rural Industry and Labor Market Integration in Eastern China." *Journal of Development Economics*, Vol. 59(1999): 463-496.
- Gao, Shanwen and Yang Yao. "Implementation of Socially Optimal Outcomes in the Process of Dissolving Public Enterprises in China." *China Economic Review*, Vol. 10 (1999): 41-58.
- Deininger, K., B. Davis, Y. Yao, and P. Olinto. "Land Markets and Land Reform under Multiple Market Imperfections." *American Journal of Agricultural Economics*, 1998, Vol. 80 (5): 1160-1160.
- Liu, Shouying, Michael Carter, and Yang Yao. "Dimensions and Diversity of Property Rights in Rural China: Dilemmas on the Road to Further Reform." *World Development*. 1998, Vol 26(10): 1789-1806.

Invited Articles

- Yao, Yang. "Establishing a Chinese Theory of Social Justice." *Contemporary Chinese Thought*, Vol. 38, No. 1 (Fall 2006): 15-51. (translated from Chinese by David Kelly)
- Yao, Yang. "Introduction to *Examining China – Social Justice and Reality in the Transitional Era.*" *Contemporary Chinese Thought*, Vol. 38, No. 1 (Fall 2006): 60-67. (translated from Chinese by David Kelly)

- Yao, Yang. Book review for *China's Retreat from Equality: Income Distribution and Economic Transition* by Carl Riskin, Zhao Renwei, and Li Shi. *Journal of Asian Business*, 2005, Vol. 21 (1): 75-76.
- Yao, Yang. "Building Support for Policy Change by Improving Governance in China: The Case of Shunde." *Transition Newsletter*, 2002, Vol. 12(2): 13-15.

Books and Book Chapters

Books

- Yang Yao and Linda Yueh (editors), *Globalization and Economic Growth in China*, World Scientific, 2006.
- Garnaut, Ross, Ligang Song, Stoyan Tenev, and Yang Yao. *Ownership Transformation in China*, The World Bank, 2005.
- Garnaut, Ross, Ligang Song, Yang Yao and Xiaolu Wang. *China's Private enterprise*. Canberra: Asia Pacific Press, 2001.

Book Chapters

- Song, Ligang and Yang Yao. "The Impact of Privatization on Firm Performance in China." In Yang Yao and Linda Yueh, editors, *Globalization and Economic Growth in China*, World Scientific, 2006.
- Yao, Yang. "Village Elections, Accountability, and Income Distribution in Rural China." In Ross Garnaut and Ligang Song, editors, *The Turning Point in China's Economic Development*, Asia Pacific Press, Canberra, 2006.
- Carter, Michael, and Yang Yao. "Market versus Administrative Reallocation of Land: An Econometric Analysis." In Peter Ho, editor, *Developmental Dilemmas: Land Reform and Institutional Change in China*, RoutledgeCurzon, New York, 2005.
- Yao, Yang. "Government Commitment and the Outcome of Privatization in China." In Takatoshi Ito and Anne Krueger, editors, *Governance, Regulation and Privatization*, University of Chicago Press, Chicago, 2004.
- Yao, Yang. "Privatizing the small SOEs." IN Ross Garnaut and Ligang Song editors, *China's Third Economic Transformation*, RoutledgeCurzon, New York, 2004.
- Yao, Yang. "In Search of Balance: Technological Development in China." In Sanjaya Lall and Shujiro Urata editors, *Competitiveness, FDI and Technological Activity in East Asia*, Edward Elgar, Cheltenham, UK and Northampton, MA, USA, 2003.
- Lin, Justin and Yang Yao. "Chinese Rural Industrialization in the Context of the East Asian Miracle." Chapter 4 in Joseph Stiglitz and Shahid Yusuf editors, *Rethinking the East Asian Miracle*, the World Bank and Oxford University Press, 2001.
- Yao, Yang. "Urbanization and Its Impacts on China's Environment." Book chapter in Fu-chen Lo and Yu-qing Xing editors, *China's Sustainable Development Framework*, Institute of Advanced Studies, the United Nations University, Japan, 1999.

CHINESE PUBLICATIONS

Books and Translations

- Yao, Yang, and Mengtao Gao (editors), *Health, Governance, and Rural Development*, Peking University Press, 2007.
- Huang, Ping, Yang Yao, and Yuhai Han, *Our Time*, Central Translation and Achieve Press, September 2006.
- Gu, Xin, Mengtao Gao, and Yang Yao, *China's Health Care Reforms: A Pathological Analysis*, Social Sciences Academic Press, January 2006.
- Wang, Dingding, Sen Wei, and Yang Yao, *A Three-person Dialogue on Institutional Economics*, Peking University Press, April 2005.
- Yao, Yang, *Land, Institution, and Agricultural Development*. Peking University Press, 2004.
- Yao, Yang, editor, *Equity and Social Justice in Transitional China*. Renmin University Press, 2004.
- Yao, Yang, *Institutions and Efficiency --- A Dialogue with Douglas North*, Sichuan Renmin Press, October 2002.
- Yao, Yang, *Liberty, Justice and Institutional Change*, Henan Renmin Press, February 2002.

- Liu, Yigao, Xiaoyi Wang and Yang Yao. *The Economic and Social Dynamics of the Chinese Village*, Hebei Renmin Press, January 2002.
- Yao, Yang (Translator), *Game Theory*, Drew Fudenberg and Jean Tirole, Renmin University Press, 2002.
- Yao, Yang (Translator), *Games and Information*, Eric Rasmusen, Beijing University Press, 2003.
- Yang Yao, Yuqing Xing, and Pingyao Lai (Translators), *An Economic Theory of Democracy*, Shanghai Renmin Press, October 2005.

Journal Articles

- Yao, Yang and Yinzhao Gao. "A Study of the Appraisal Indexes for Financial Centers." *Jinrong Luntan (Finance Forum)*, 2007, No. 5: 3-8.
- Yao, Yang. "Transformation of the Government and the Reconstruction of the Rural Communities." *Ershiyi Shiji (The Twenty-first Century)*, 2007, No. 2.
- Yao, Yang. "The New Countryside Reconstruction and the Change of Rural Development Strategy." *Xuexi yu Tansuo (Study and Exploration)*, 2007, No. 2, 140-146.
- Gan, Li, Lixin Xu, and Yang Yao. "Village Governance, Finance, and Consumption Smoothing: Evidence from 49 Villages of 8 Provinces." *Zhongguo Nongcun Guancha (China Rural Survey)*, 2007, No.2, 2-13.
- Huang, Lingwen, and Yang Yao. "The Impact of SOE Reform on Employment: Evidence from 11 Cities." *Jingji Yanjiu (Economic Research Journal)*, 2007, Vol. 42, No.3: 57-69.
- Wang, Shuna, and Yang Yao. "Grassroots Democracy and Local Governance: Evidence from 48 Villages." *Beijing Daxue Xuebao: Zhexue Shehui Kexue Ban (The Journal of Peking University: Philosophy & Social Sciences)*, 2007, Vol. 44, No.2: 121-130.
- Gao, Mengtao, and Yang Yao. "Which Is the Main Reason for Income Inequality in Rural China: Physical Assets or Human Capital?" *Jingji Yanjiu (Economic Research Journal)*, 2006, Vol. 41, No.12: 71-80.
- Yao, Yang. "The State and the Reconstruction of the Rural Society." *Ershiyi Shiji Pinglun (Twenty-first Century Review)*, Hong Kong, forthcoming.
- Yao, Yang and Mengtao Gao. "Grassroots Democracy Benefits the Poor." *Zhongguo Shehui Zhengce (Social Policy in China)*, 2006, 225-247.
- Yang, Rudai, and Yang Yao. "Limited Catch-up and the Development of a Large Country." *Guoji Jingji Pinglun (International Economic Review)*, 2006(7-8): 16-19.
- Shen, Yan, and Yang Yao. "Village Election and Income Distribution: Evidence from 48 Villages in 8 Provinces." *Jingji Yanjiu (Economic Research Journal)*, 2006(4): 97-105.
- Sun, Ang, and Yang Yao. "Impact of Major Illnesses on Family Educational Investment." *Shijie Wenhui (World Economic Papers)*, 2006(1): 26-36.
- Gao, Mengtao, Li Gan, Lixin Xu, and Yang Yao. "Health Shocks, Village Governance, and Farmers' Income Capabilities." *Zhongguo Renkou Kexue (Chinese Journal of Population Science)*, 2006(1): 21-32.
- Gao, Mengtao and Yang Yao. "Impacts of Health Shocks on Farm Households' Income: An Analysis Based on Panel Data." *Jingji Yanjiu (Economic Research)*, 2005(12): 15-25.
- Yao, Yang, "The Encompassing State." *Research of Institutional Economics*, 2005, Vol. 6: 1-10.
- Song, Ligang and Yang Yao, "Impacts of Privatization on Firm Performance in China." *Chinese Social Sciences*, 2005 (2): 17-31.
- Guo, Kai and Yang Yao, "Causes of SOE Restructuring: Testing Five Hypotheses." *The Journal of World Economy*, 2004, 316: 3-13.
- Yao, Yang, "Foster Farmers' Cooperation through the Market." *Journal of Central China Normal University*, 2004, 43(5): 40-41.
- Michael Carter and Yang Yao, "Industrialization, Land Market, and Agricultural Investment." *China Economic Quarterly*, 2004, Vol. 3 (4): 983—1002.
- Mengtao Gao and Yang Yao, "Gender, Life Cycle, and Intrahousehold Allocation of Healthcare." *Jingji Yanjiu (Economic Research)*, 2004 (7): 115-125.
- Lu, Feng and Yang Yao, "Legality, Financial Development and Economic Growth under Financial Repression." *Social Sciences in China*, 2004 (1): 42-55.
- Yao, Yang and Lei Yang, "Distorted Institutional Arrangements and the Outcomes of China's Fiscal Decentralization." *Strategy and Management*, 2003 (3): 27-33.

- Yao, Yang, "The Political Possibility of Efficient Institutional Change." *Research of Institutional Economics*, 2003, Vol. 1: 1-16.
- Yao, Yang, "The High Equilibrium Trap --- The Needham Puzzle Revisited." *Economic Research*, 2003(1): 71-79.
- Li, Jing, Justin Lin, and Yang Yao, "Credit Constraints, Land, and Children's Education in Underdeveloped Regions." *Chinese Journal of Population Science*, 2002(6): 10-26.
- Yao, Yang, "An Economic Analysis of the Punishment on Adultery." *China Economic Quarterly*, 2002, Vol. 1 (4): 977-984.
- Rong, Zhao, Laiyun Sheng and Yang Yao, "A Study of the Demand for Electronic Appliances in Rural China." *China Economic Quarterly*, 2002, Vol. 1 (3): 589-602.
- Yang, Lei and Yang Yao, "The Rules of the Stone Age." *China Economic Quarterly*, 2002, Vol. 1 (3): 654-670.
- Yao, Yang and Qi Zhang, "A Study of the Technical Efficiency of the Chinese Industrial Firms." *Economic Research*, 2001(10): 13-19.
- Yao, Yang, "The Ways That Freedom Can Be Pursued By." *China Economic Quarterly*, 2001 Vol. 1 (1): 207-217.
- Yao, Yang, "Social Exclusion and Economic Discrimination: The Life of Migrants in Coastal Regions." *Strategy and Management*, 2001 (3): 32-42.
- Wang, Chunhua and Yang Yao. "Land Distribution and Labor Migration." *Nongcun Jingji Wengao (Rural Economic Papers)*, 2001(1): 54-59.
- Yao, Yang, "Labor Market Integration in Developed Rural Areas." *China Rural Survey*, 2001 (2): 2-10.
- Yao, Yang, "Chinese Land Tenure and Rural Social Security." *Chinese Social Sciences Quarterly (Hong Kong)*, fall 2000: 19-26.
- Lai, Pingyao and Yang Yao, "Communication and Transaction." *Jingji Yanjiu (Economic Research)*, 2000 (9): 43-48.
- Yao, Yang, "Induced Institutional Change under Collective Decision: The Case of Land Tenure Evolution in Rural China." *Zhongguo Nongcun Guancha (Chinese Rural Survey)*, 2000 (2): 11-19.
- Yao, Yang, "Chinese Land Tenure: An Analytical Frame." *Zhongguo Shehuikexue (Social Sciences in China)*, 2000 (2): 54-65.
- Yao, Yang and Zhaohua Zhi, "The Role of Government in Privatization." *Jingji Yanjiu (Economic Research)*, 2000 (1): 3-10.
- Yao, Yang. "Labor Market Imperfections and Land Lease Market Development in Rural China." *Zhongguo Nongcun Guancha (Chinese Rural Survey)*, 1999 (2): 16-21.
- Yao, Yang. "Non-state Factors and Technical Efficiency of Chinese Industrial Firms." *Jingji Yanjiu (Economic Research)*, 1998 (12): 29-35.
- Yao, Yang. "Land Tenure Arrangements and Agricultural Performance in China." *Zhongguo Nongcun Guancha (Chinese Rural Survey)*, 1998 (6): 1-10. (The 2006 Reward of Rural Development Studies)
- Yao, Yang. "Small Farms and Efficiency." *Zhongguo Jingjishi Yanjiu (Review of Chinese Economic History)*, 1998 (4): 140-144.

Popular Articles

- Yao, Yang, "Environmental Protection Provides Firms Incentives for Profits." *Nanfang Zhoumo (South Weekend)*, August 2, 2007, Section c19.
- Yao, Yang, "Environmental Protection Matters for Equitable Growth." *Nanfang Zhoumo (South Weekend)*, July 26, 2007, Section c19.
- Yao, Yang, "Determine the Level of Pollution by the 'Value of Life'?" *Nanfang Zhoumo (South Weekend)*, July 19, 2007, Section c19.
- Yao, Yang, "Toward an Equitable Liberalism." (interview) *Nanfeng Chuang (The South Window)*, July 1, 2007: 17-19.
- Yao, Yang, "Walk by Two Legs." *Nanfang Zhoumo (South Weekend)*, June 28, 2007, Section c19.
- Yao, Yang, "The 'Chinese Paradox' from the Angle of Book GDP." *Nanfang Zhoumo (South Weekend)*, May 17, 2007, Section c19.
- Yao, Yang, "Thinking from Indian Slums to 'the Most Stubborn Dingzihu'." *Nanfang Zhoumo (South Weekend)*, April 12, 2007, Section c19.
- Yao, Yang, "New Understanding of 'Equality and Efficiency' from the Rui'an Experiment." *Jiefang Ribao (Jiefang Daily)*, April 3, Section 7.

- Yao, Yang, "Notes on My Indian Visit." *Nanfang Zhoumo (South Weekend)*, March 15, 22, 29, April 5, 2007, Section c19.
- Yao, Yang, "The Countryside Should be a Place for Decent Life." *Zhongguo Jingji Daobao (China Economic Herald)*, Section B7, January 2, 2007.
- Yao, Yang, "The New Countryside Movement and the Change of the Rural Development Paradigm." *Xuexi Shibao (Study Times)*, Section 4, December 11, 2006.
- Yao, Yang, "The Scientific Fallacy of Economics." *Dushu (Reading)*, 2006(12): 144-149.
- Yao, Yang, "Less Social Engineering, More Humanitarian Concerns." *Nanfang Zhoumo (South Weekend)*, August 3, 2006, Section 30.
- Huang, Ping, Yao, Yang, and Han, Yuhai, "Intellectuals and Culture in the 1980s: Part 2", *Tianya (Frontiers)*, 2006.4: 50-63.
- Huang, Ping, Yao, Yang, and Han, Yuhai, "Intellectuals and Culture in the 1980s: Part 1", *Tianya (Frontiers)*, 2006.3: 20-32.
- Yao, Yang, "Being Cheated and Sympathy", *Jingji Xuejia Chazuo (Teahouse for Economists)*, 2005.4 (22): 104-105.
- Yao, Yang, "Seventeen Years After: The Reality and Future of Village Election." *Nanfeng Chuang (The South Window)*, March 1, 2006: 23-25.
- Yao, Yang, "Whether the Heavy Chemical Industry Road?" *Twenty-first Century Economic Herald*, January 6, 2006, Section 24.
- Huang, Ping, Yao, Yang, and Han, Yuhai, "Cultural Autonomy in a Multi-cultural Era", *Shucheng (Read)*, December 2005: 28-33.
- Yao, Yang, "Reject the Slippery Slope of Morality", *Caijing Magazine*, 2005, No. 24 (November 28, 2005): 18-19.
- Yao, Yang, "Heritages of the Planning Period --- Notes on the Complexities of Contemporary China: 2", *Wenjing (Literature Review)*, 2006(1): 66-71.
- Yao, Yang, "Successes and Failures of the Market --- Notes on the Complexities of Contemporary China: 2", *Wenjing (Literature Review)*, 2005(12): 24-29.
- Yao, Yang, "On Social Justice --- Notes on the Complexities of Contemporary China: 1", *Wenjing (Literature Review)*, 2005(11): 32-39.
- Yao, Yang, "Revolution, Democracy and Liberty." *Dushu (Reading)*, 2005 (11): 85-90.
- Yao, Yang, "The Truth and Fallacy of the Loss of State Assets." *Dushu (Reading)*, 2005 (6): 104-110.
- Wang, Dingding, Wei, Sen, and Yao, Yang, "The Western Heritages of Institutional Economics and the Chinese Problems We Are Interested in." *Wenjing (Literature Review)*, 2005(4): 18-25.
- Yao, Yang, "The Solution to the 'San-nong' Problem." *San-nong China*, 2005 (1), 21-27.
- Yao, Yang, "Three Days in My Home Village." *Jingji Xuejia Chazuo (Teahouse for Economists)*, 2005, Vol. 19: 94-102.
- Yao, Yang, "Economic Explanations of Law and Trust." *China Book Review*, 2005, Vol. 1: 13-19.
- Yao, Yang, "An Economic Perspective of the Harmonious Society." *Twenty-first Century Economic Herald*, March 28, 2005, Section 3.
- Yao, Yang, "Introducing Some Books." *Nanfang Zhoumo (South Weekend)*, March 10, 2005, Section 30.
- Yao, Yang, "The Scholar's Spiritual Home." *Zhongguo Tushu Shangbao, Shuping Zhoukan (CBBR Book Review Weekly)*, September 3, 2004, Section 5.
- Yao, Yang, "Stable Transition." *Nanfeng Chuang (The South Window)*, August 10, 2004.
- Yao, Yang, "There Is No Shortcut to Democracy." *Twenty-first Century Economic Herald*, March 18, 2004, Section 3.
- Yao, Yang, "What Are the Real Disparities between Urban and Rural China?" *Sannong Zhongguo (Rural China)*, first collection, 2003.
- Yao, Yang, "Between the Study and the Reality." *Shucheng (Read)*, 2003(3).
- Yao, Yang, "Pragmatism with an Ideal", *Zhongguo Gaige (China Reform)*, 2003 (3).
- Yao, Yang, "Warlord Economy: The Federalism of the Chinese Fiscal System." *Nanfeng Chuang (The South Window)*, February 1, 2003.
- Yao, Yang, "Social Justice in Transitional China." *Twenty-first Century Economic Herald*, January 9, January 16, January 30, February 13, February 20, February 27, March 13, 2003, Section 30.
- Yao, Yang, "Geography, Institution, and the Needham Puzzle", *Dushu (Reading)*, 2003(1).
- Yao, Yang, "The State and the Three Rural Problems", in WEN Chi editor, *The Light of the Mind, Beida Lecture Series, No. 9*. Beijing: The New World Press, December 2002.
- Yao, Yang, "SOE Privatization: We Have No Other Choices", *Twenty-first Century Economic Herald*, December 30, 2002, Section 98.
- Yao, Yang, "Pursuing Man's Encompassing Freedom", *Zhongguo Tushu Shangbao, Shuping Zhoukan (CBBR Book Review Weekly)*, November 15, 2002, Section 8.

- Yao, Yang, "Tension to be harmonized", *Zhongguo Tushu Shangbao, Shuping Zhoukan (CBBR Book Review Weekly)*, September 19, 2002, Section 12.
- Yao, Yang, "Efficiency or Political Impetus --- A Comment on the new *Land Contract Law*." *Nanfeng Chuang (The South Window)*, September 16, 2002.
- Yao, Yang, "The Conscience of Economics --- An Interview with Amartya Sen." *Jingjixue Xiaoxi Bao (Economic News Weekly)*, August 9, 2002, Section 1.
- Yao, Yang, "The Vanished Town." *Jingji Xuejia Chazuo (The Economist Teahouse)*, 2002, Vol. 7: 156-160.
- Yao, Yang, "Village Democracy and Globalization." *Dushu (Reading)*, 2002(4).
- Yao, Yang, "The Moral Sentiment." *Dushu (Reading)*, 2001(10).
- Yao, Yang, "The Rule of Law and Crony Capitalism." *Dushu (Reading)*, 2001(3).
- Yao, Yang, "Good Law and Good Rule of Law." *Twenty-first Century Economic Herald*, February 12, 2001, Section 22.
- Yao, Yang, "Not Just for Equity." *Jingji Xuejia Chazuo (The Economist Teahouse)*, 2001, Vol. 3: 72-75.
- Yao, Yang, "Is the New Marriage Law Sufficient to Prevent Adultery and Family Violence?" *Twenty-first Century Economic Herald*, December 14, 2000, Section 22.
- Yao, Yang, "Should the Law Punish Adultery?" *Dushu (Reading)*, 2001 (1).
- Yao, Yang, "An Economic Analysis of Legal Punishments on Adultery." *Jingjixue Xiaoxi Bao (Economic News Weekly)*, September 22, 2000, Section 4.
- Yao, Yang, "Looking Forward or Looking Backward?" *Dushu (Reading)*, 2000 (5).
- Yao, Yang, "The Position of Freedom." *Dushu (Reading)*, 2000 (2).
- Yao, Yang, "Yesterday's Ideal and Today's Innovation." *Dushu (Reading)*, 1999 (10).
- Yao, Yang, "A Question on Freedom." *Jingjixue Xiaoxi Bao (Economic News Weekly)*, September 3, 1999, Section 4.
- Yao, Yang. "Justice for Whom?" *Dushu (Reading)*, 1999 (7).
- Yao, Yang. "The Contribution of Amartya Sen." *Dushu (Reading)*, 1999 (3).

OTHER LANGUAGE PULICATIONS

- 姚洋, "中国の土地所有制度と問題点", 《中国 21》(愛知大学現代中国学会編), Vol. 26, 2007. 1, 215-244.

WORKING PAPERS

- Yang, Rudai, and Yang Yao. "Limited Catch-up and Economic Growth." CCER Working Paper No. C20070016, September 2007.
- Yao, Yang, and Ye Zhang, "A Study on the Domestic Technological Contents of Chinese Products: Evidence from the Country and Jiangsu and Guangdong Provinces", CCER Working Paper No. C2007013, July 2007.
- Yao, Yang, and Linfeng Zhang, "An Analysis of the Competitiveness of Domestic Chinese Exporting Firms and Their Technological Upgrading", CCER Working Paper No. C2007012, July 2007.
- Yao, Yang. "On Capability-based Equality." CCER Working Paper No. C2007010, July 2007.
- Gan, Li, Lixin Xu, and Yang Yao. "Health Shocks, Village Elections, and Long-term Income: Evidence from Rural China." CCER Working Paper No. E2006012; NBER Working Paper w12686. November 2006.
- Sun, Ang, and Yang Yao. "Health Shocks and Children's Educational Attainment: Evidence from rural China." CCER Working Paper No. E2006011. September 2006.
- Huang, Lingwen, and Yang Yao. "The Impacts on Privatization on Profitability and Employment in China." CCER Working Paper No. E2006008. September 2006.
- Shen, Yan, and Yang Yao. "Local Governance and Income Distribution: Evidence from Rural China." CCER Working Paper No. E2006005. May 2006.
- Wang, Shuna, and Yang Yao. "Village Elections and Accountability in Rural China." CCER Working Paper No. E2006001. January 2006.
- Lu, Susan and Yang Yao. "The Effectiveness of the Law, Financial Development, and Economic Growth in an Economy of Financial Repression: Evidence from China." Stanford Center for International Development Working Paper No. 179. October 2003.
- Carter, Michael and Yang Yao. "Specialization without Regret --- Transfer Rights, Agricultural Productivity, and Investment in an Industrializing Economy." World Bank Policy Research Working Paper 2202, October 1999.

TALKS AND SEMINARS

- September 28, 2007: "Grassroots Democracy and Income Distribution: Evidence from Village Elections in Rural China." Department of Economics, Cornell University.
- August 5, 2007: "On Capability-based Equality." Speech in the Symposium for Issues of Social Justice in China, The Hongfan Institute for Legal and Economic Studies.
- May 25, 2007: "Incremental Catch-up and the Upgrading of Chinese Exports." Keynote speech, Shanghai Forum, "Globalization and Asia's Choices" sub-forum.
- May 23, 2007: "What Kind of Liberalism Does China Need?" Humanities Lecture Series (No. 1269), Huazhong University of Sciences and Technology.
- May 23, 2007: "Equality and Incentives." Center for Rural Politics, Huazhong University of Sciences and Technology.
- April 25, 2007: "Equality and Incentives." The Central University of Finance and Economics.
- April 16, 2007: "A Close Look at the Indian Democracy." The Centennial Lecture Series, Phoenix Satellite Television. Aired at 2:10-3:00PM, May 12, 2007, and 8:30-9:20AM, 11:30-12:20PM, May 13, 2007.
- March 31, 2007: "Equality and Incentives." Yuexiu Academic Lecture Series, Zhengzhou.
- March 24, 2007: "What Kind of Liberalism Does China Need?" Peking University.
- December 17, 2006: "Village Elections and Local Governance in Rural China." Center for Rural Politics, Huazhong University of Sciences and Technology.
- December 6, 2006: "Village Elections and Local Governance in Rural China." Tata Institute of Social Sciences, Mumbai, India.
- November 24, 2006: "Limited Catch-up." School of Economics and Management, Qsinghua University.
- November 14, 2006: "Health Shocks, Local Governance, and Long-term Income: Evidence from Rural China." School of Economics, Fudan University.
- November 14, 2006: "China-US Interdependence and Its Implications for China." FACES, Stanford University and Fudan University.
- November 13, 2006: "The New Socialist Countryside and the Change of the Development Paradigm." Caijing Forum, Channel First Caijing, Shanghai TV.
- November 13, 2006: "Health Shocks, Local Governance, and Long-term Income: Evidence from Rural China." Antai School of Management, Shanghai Jiaotong University.
- October 24, 2006: "Health Shocks, Local Governance, and Long-term Income: Evidence from Rural China." Guanghua School of Management, Peking University.
- September 8, 2006: "The Impact of Privatization on Employment in China." Center for Studies on the State Economy, Jilin University.
- September 8, 2006: "The New Socialist Countryside and the Change of the Development Paradigm." Business School, Northeast Normal University.
- August 21, 2006: "Village Elections, Accountability, and Income Distribution in Rural China." The East Asian Institute, Singapore National University.
- July 9, 2006: "Village Elections, Accountability, and Income Distribution in Rural China." Keynote speech, conference for the 70th anniversary of post-graduate education in agricultural economics in China, Nanjing Agricultural University.
- May 21, 2006: "Cultural Reconstruction: Challenges for China in the Next 50 Years." Economics of College, Anhui University.
- May 20, 2006: "Cultural Reconstruction and the Role of the Economist." Symposium of "Economic and Social Transition in China", Anhui University.
- May 18, 2006: "Grassroots Democracy and Income Distribution: Evidence from Rural China." The Sixth Hong Kong Baptist University- Qsinghua University Joint Conference.
- May 16, 2006: "Grassroots Democracy and Income Distribution: Evidence from Rural China." College of Economics, Shanghai University of Finance and Economics.
- May 16, 2006: "The Complexities of Contemporary China and the Economist's Responsibilities." Shanghai University of Finance and Economics.
- April 3, 2006: "The Complexities of Contemporary China and the Economist's Responsibilities." Beijing Normal University.
- March 9, 2006: "Grassroots Democracy and Income Distribution: Evidence from Rural China." School of Economics, Shandong University.
- February 23, 2006: "The Complexities of Contemporary China." Fudan University.
- January 11, 2006: "Grassroots Democracy and Income Distribution: Evidence from Rural China." Paper presented in the conference "A Normative Appraisal of the Policy Reforms in Asia", Delhi.
- January 10, 2006: "Prospective of Chinese Economic Growth." FMS, University of Delhi.

December 11, 2005: "Social Responsibility of Chinese Economists." Keynote speech, closing session of the China Economic Annual Conference, Xiamen University.

November 9, 2005: "The Complexities of Contemporary China." Zhejiang University.

October 28, 2005: "The Complexities of Contemporary China." The Chinese University of Administration and Law.

October 15, 2005: "The Complexities of Contemporary China." Peking University.

May 17, 2005: "Health Shocks, Governance and Farmers' Long-term Income Capabilities: Evidence from China." International Food Policy Research Institute.

May 9, 2005: "Health Shocks, Governance and Farmers' Long-term Income Capabilities: Evidence from China." Department of Ag. and Applied Economics, University of Wisconsin-Madison.

December 28, 2004: "Causes and Consequences of Chinese Privatization." Fudan University.

December 28, 2004: "Non-market Value and Gender Gap in Access to Health Care." Shanghai University of Finance and Economics.

December 27, 2004: "Legal Issues in Enterprise Reform", Tongji University, invited speaker.

October 24, 2004: "The Land Forum." The Chinese University of Administration and Law.

September 27, 2004: "Legal Aspects of the Chinese Land Tenure." Forum of the Celebrities, the Chinese University of Geology.

September 23, 2004: "Legal Aspects of the Chinese Land Tenure." Civic Law Research Center, Renmin University.

May 31, 2004: "A Successful Combination of Local Innovation and An Encompassing Ruling Party – An Explanation of China's Gradualism", Yangzhou University.

May 11, 2004: "Causes and Performance of Privatization in China", Sichuan University.

April 17, 2004: "Development of SMEs after China's WTO Accession", Gong-an county, Hubei province.

April 16, 2004: "A Successful Combination of Local Innovation and An Encompassing Ruling Party – An Explanation of China's Gradualism", Huazhong University of Science and Technology.

February 6, 2004: "A Successful Combination of Local Innovation and An Encompassing Ruling Party – An Explanation of China's Gradualism", Unirule Institute.

December 26, 2003: "A Successful Combination of Local Innovation and An Encompassing Ruling Party– An Explanation of China's Gradualism", Jiangxi Normal University.

December 22, 2003: "A Successful Combination of Local Innovation and An Encompassing Ruling Party", Jiangxi Finance and Economics University.

December 22, 2003: "Political Process and Efficient Institutional Change", Jiangxi Finance and Economics University.

November 12, 2003: "Economists and Social Value", Economic Society, Renmin University.

October 21, 2003: "Chinese Land Tenure: Issues and Perspectives", keynote speech, "International Symposium on Sustainable Development in East Asia", Nanjing Agricultural University.

November 6, 2002: "Political Process and Efficient Institutional Change", Economic Research Center, Shandong University.

November 6, 2002: "The State and the Three Rural Problems", Shandong University.

October 24, 2002: "The State and the Three Rural Problems", Beijing University.

October 15, 2002: "The Rational versus the Political Model in Collective Decision: A Case of the Chinese Land Tenure", the Second China Economics Annual Conference, Northwest University.

October 8, 2002: "Political Process and Efficient Institutional Change", CCER, Beijing University.

July 11-12, 2002: "International Symposium on Equity and Social Justice in Transitional China", CCER, Beijing University, organizer.

December 5, 2001: "Social Justice in Transitional China", Beijing Normal University.

November 13, 2001: "A Theory of Social Justice", College of Economics and Finance, Xi'an Jiaotong University, Xi'an.

November 12, 2001: "Government Commitment and the Outcomes of Privatization in China", College of Economics and Management, Northwest University, Xi'an.

September 22, 2001: *The Rule of the "Stone Age"*, First Annual Conference of New Institutional Economics, Xiangshan, Beijing.

March 21, 2001: *The Chinese Land Tenure: A Dialogue with Legal Experts*, Law School, Beijing University, Beijing.

March 1, 2001: *Chinese Rural Industrialization in the Context of the East Asian Miracle*, International University of Japan, Niigata.

- February 25, 2001: *How Do We Pursue Freedom?* The Light of the New Century Seminar Series, The Ph.D. Association, Nankai University, Tianjin.
- November 15, 2000: *Government Commitment and Privatization*, Department of Economics, The World Bank.
- September 22, 2000: *An Economic Analysis of Legal Punishments on Adultery*, Unirule Institute of Economics, Beijing.
- May 15, 2000: *Government Commitment and Privatization*, Department of Economics, Washington University, St. Louis.

RESEARCH GRANTS

- July 2007 – January 2008: *Political Economy about Regional Inequality in China*, 2009 *World Development Report* background paper, World Bank.
- June 2006 – December 2006: *Village Elections and Their impacts*. With Nancy Qian and Gerard Padro i Miquel. Brown University and Stanford University.
- June 2006 – December 2006: *A Study of the Private Sector in China: Development and Sustainability*. Principal Investigator. The International Finance Corporation, 1.25 million RMB.
- September 2005 – August 2007: *A Study of Industrial Clusters in China*. Researcher, the National Science Foundation, 1.1 million RMB.
- July 2005 – September 2005: *A Survey Study of Chinese Outward Investing Firms*. Principal researcher. FIAS, the World Bank, \$40,000.
- January 2005 – December 2006: *The Role of Land Tenure in Chinese Urbanization*. Researcher, the Ministry of Education, 800,000 RMB.
- September 2004 – August 2006: *A Study of the Chinese Development History in the Last 50 Years*. Principal researcher, the Ministry of Education, 200,000 RMB.
- September 2004 – August 2006: *A Study of the Chinese Transition*. Principal researcher, the Ministry of Education, 200,000 RMB.
- February 2004 – June 2005: *Health Shocks and Farmers' Welfare*. Principal researcher with Li Gan and Lixin Xu. The World Bank, \$45,000.
- February 2003 - May 2003: *Impacts of Health Shocks on Farmers' Welfare*. Principal researcher, Chinese Academy of Health Policy, Peking University, \$35,000.
- July 2002 – December 2002: *A Study of the Privatization of Chinese SOEs*. Principal researcher on behalf of CCER, joint with Ross Garnaut and Ligang Song of the Australian National University. IFC, \$50,000 (funds controlled by CCER).
- March 2001 – August 2001: *Efficiency and Equity Consequences of Property Right Reform: The Case of Increased Land Tenure Security in China*, With Michael Carter and Klaus Deininger, Juergen Voegelé, World Bank, \$50,000.
- May 2000 - April 2001: *Government Reform and Economic Performance in China*. Awardee, The McNamara Scholarship, World Bank, \$7,500.
- January 2000 - December 2000: *Technological Development in East Asia*, in charge of the China portion of the research, the World Bank, \$7,500.
- January 2000 - January 2002: *Evolution of the Chinese Land Tenure and its Impacts on Economic and Social Performance*. Awardee, Hou Yingtong Yong Faculty Research Fellowships, Ministry of Education, \$7,500.
- June 1999 - March 2000: *A Study on the Domestic Private Sector Development in China*. Principal researcher on behalf of CCER, joint with Ross Garnaut and Ligang Song of the Australian National University. IFC, \$50,000 (funds controlled by CCER).
- May 1999 - July 2000: *Rural-rural Migration and the Social and Economic Dynamics of the Chinese Village*. Principal researcher with Yigao Liu and Xiaoyi Wang. The Ford Foundation, \$40,000.
- July 1999 - December 1999: *The Efficiency and Equity Implications of the Long-term Land Lease Contract in Guizhou Province: A Pre-research*. Principal researcher with Michael Carter and Klaus Deininger, The World Bank, \$14,000.
- April 1998 - November 1998: *China's Rural Industrialization in the Context of the East Asian Miracle*. Principal researcher with Justin Yifu Lin. One full month workload. The World Bank, \$7,500.
- February 1998 - November 1998: *A Study on Chinese's Small Enterprises*. Principal researcher with Yueping Wang. One full month workload. The World Bank, \$5,000.

January 1998 - July 1998: *Urbanization and Its Impacts on China's Environment*. Principal researcher. Two full month workload. United Nations University, Japan, \$5,000.
January 1997 - January 1998: *Land Tenure, Land Markets, and Efficiency in Chinese Agriculture*. Principal researcher with Michael Carter and Shouying Liu. Two full month workload. The World Bank, \$30,000.

CONSULTANCY

September 27, 2004: *SMEs and Employment*, WBI, the World Bank.
September 9, 2004: *SMEs, Employment and Constraints*, the World Bank and CDR.
June 22, 2004: *SME Development in the WTO Era*, WBI, the World Bank.
May 10 – May 14, 2004: *Comparison of Sichuan and Zhejiang*, resource person, CPDF, IFC.
November 1 – December 23, 2003: *SMEs and Job Creation in China*, WBI, the World Bank.
October 15, 2003: *Workshop on Employment in China*, Beijing, WBI, the World Bank.
September 14-16, 2003: *Creating A Conducive Business Environment for SME Development in Russia*, St. Petersburg, Russia, resource person, WBI, the World Bank.
March 2003 – August 2003: *Sichuan SME Mapping and a Comparison between Sichuan and Zhejiang*, China Project Development Facility, The International Finance Corporation.
February 26-28, 2003: *Research Result Dissemination*, Sri Lanka, resource person, WBI, the World Bank.
March – June 2002: *Sichuan Regulatory and Administrative Cost Survey*, The International Finance Corporation.
April 13-14, 2001: *Research Result Dissemination*, Chengdu, Sichuan province, resource person, International Finance Corporation.
July – September 1996: *An Econometric Assessment of the Effect of Long-distance and City Call Bundling*, AT&T.