

CURRICULUM VITAE
March 2010

VICTOR NEE

Department of Sociology
330 Uris Hall
Cornell University
Ithaca, New York 14853

Home address: 2 Parkway Place, Ithaca, New York 14850
Telephone: 607-255-1415 (O); 607-257-7963 (H)
FAX: 607-257-5286; *email*: vgn1@cornell.edu

EDUCATION

1977 Ph.D. Sociology, Harvard University

1975 MA. Sociology, Harvard University

1970 MA. East Asian Regional Studies 1970, Harvard University

1967 A.B. Biology and History, High Honors, Cowell College, University of California
at Santa Cruz

1963 Birmingham High School, Van Nuys, California

PRESENT POSITION

Goldwin Smith Professor of Sociology, Cornell University, 1991 –

Director, Center for the Study of Economy and Society, Cornell University, 2001 -

POSITIONS HELD

Chair, Department of Sociology, Cornell University, 1997 to 2000; 2001 to 2002

Visiting Scholar, Department of Sociology, Harvard University, 2000 to 2001

Director, Comparative Societal Analysis Program, 1991 to 1994, 1995 to 1996

Professor of Sociology, Cornell University, 1988 to 1991

Associate Professor of Sociology, Cornell University, 1984 to 1988

Associate Professor of Sociology, University of California at Santa Barbara, 1982 to 1984.

Visiting Professor, Center for International Studies, Cornell University, 1982 to 1983.

Assistant Professor of Sociology, University of California, Santa Barbara, 1978 to 1982.

Acting Assistant Professor, Department of Sociology, Cornell University, 1977 to 1978.

Research Associate, China-Japan Program, Cornell University, 1976 to 1977.

Teaching Fellow, Department of Sociology, Harvard University, 1975 (Fall), 1969 to 1970.

Lecturer, John F. Kennedy Institute of Politics, Harvard University, 1969 to 1970.

AREAS OF RESEARCH INTERESTS

Economic Sociology, New Institutionalism, Market Transition/Rise of Capitalism in China, Innovation, Immigration/Ethnic Stratification

FELLOWSHIPS AND HONORS

John Simon Guggenheim Fellowship, 2006-2007. "Market Transition and Politicized Capitalism."

Mirra Komoravsky Best Book Award, Eastern Sociological Society, 2005 for *Remaking the American Mainstream* (with Richard Alba).

Thomas and Znaniecki Best Book Award from the American Sociological Association 2004 for *Remaking the American Mainstream* (with Richard Alba)

Distinguished Scholarship Award, International Association on Chinese Management Research, June 2004.

Honorable Mention, 2004 best book award for scholarly publications in Sociology and Anthropology, *Remaking the American Mainstream* (with Richard Alba). Association of American Publishers.

James Coleman Best Book Award, 2000 for *The New Institutionalism in Sociology*, co-author and co-editor with Mary Brinton, (New York: Russell Sage Foundation, 1998).
Rational Choice Section of the American Sociological Association

Fellow, Center for Advanced Study in the Behavioral Sciences, (residence year: 1996-97).

Elected Member of the Sociological Research Association, 1992.

Member of the Society for Comparative Research.

Visiting Scholar, Russell Sage Foundation, 1994 -1995.

American Council of Learned Societies and Social Science Research Council, Fellowship, 1990 to 1991.

Social Science Research Council and the American Council of Learned Societies, Post-doctoral Fellowship, 1979-1980.

Ford Foundation Graduate Fellowship, 1970-1971.

National Defense Foreign Language Fellowship (Chinese), 1969-1970.

PROFESSIONAL AFFILIATION

American Sociological Association
Eastern Sociological Society
American Economic Association
International Society for New Institutional Economics

RESEARCH GRANTS

National Science Foundation, Post-Doctoral Training Grant in Economic Sociology, "The Great Recession and its Social Consequences," awarded to six universities: Cornell, Harvard, Princeton, Stanford University, University of California at Berkeley, University of Wisconsin. Victor Nee and Kim Weeden, P.I. 2009, Cornell's module \$260,000.

"Project on Rational Choice Social Research." Russell Sage Foundation, 2007, \$50,000.

National Science Foundation, Dissertation Grant, John Calvin Scott, 2005. \$8,500.

"Social Capital and Finance: A Study of China's Entrepreneurs." PI (Sonja Opper); Co-PI (Victor Nee) Swedish Research Council, 2006-2009, \$325,000.

The John Templeton Foundation: Grant for “Entrepreneurs and the Birth of a Free Market Economy in China and Russia” 2005-09, \$1,985,000

The John Templeton Foundation: Grant on the “Ethics and Spirit of Capitalism,” 2004-2006, \$150,000

National Science Foundation: Scholarship grant for individual research #SBR-9022192, 1996, \$85,000.

Presidential Grant, Russell Sage Foundation, "New Institutionalism in Economic Sociology" 1995 (small conference grant).

National Science Foundation: "The Emergence of a Market Society: Between Redistribution and Markets in China." SES-9309651 1993, \$175,000

National Science Foundation: Dissertation Grant, Lisa Keister, 1995, \$7,500

L. T. Lam Grant for research on South China, 1993 (small grant).

National Science Foundation: Dissertation Grant, Scott Sernau, 1992.

L. T. Lam Grant for research on South China, 1991 (small grant).

National Science Foundation: "New Immigrants from Asia: Family and Ethnic Basis of Socioeconomic Adaptation" (with Jimmy Sanders) SES-9008785, 1990, \$97,500

National Science Foundation: REU grant for undergraduate research, SES-9008785.

Hatfield Fund Grant, Cornell University, 1989 (small grant).

L. T. Lam Grant for research on South China, 1989 (small grant).

National Science Foundation: "New Immigrants from Asia: Family and Ethnic Basis of Socioeconomic Adaptation" (with Jimmy Sanders) SES-8811167, 1988, \$125,000

EDITORIAL RESPONSIBILITIES

Associate Editor, *Rationality and Society* 2000 -

Member of Editorial Board, *International Migration Review* 1993 –

Member of Editorial Board, *American Sociological Review* 1996 - 1999

Member of Editorial Board, *Annual Review of Sociology*, 1996 – 2000

Co-Editor of *Working Papers on the Transitions from State Socialism*. (with David Stark)
1990 - 1997

Deputy Editor, *Sociological Forum*, 1987 - 1992

Associate Editor, *Sociological Forum*, 1985 - 1987.

COMPLETED PAPER, UNDER REVIEW

“A Theory of Assimilation” In *Handbook of Rational Choice Social Research*, coedited
by Rafael Wittek, Tom Snijders and Victor Nee. New York: Russell Sage Foundation

Handbook for Rational Choice Social Research, co-edited by Rafael Wittek, Tom
Snijders, and Victor Nee. New York: Russell Sage Foundation

“Opportunity Structure of Innovation” with Sonja Opper

PUBLICATIONS: BOOK

Capitalism from Below: Markets and Institutional Change in China, with Sonja Opper.
(Cambridge, MA: Harvard University Press, forthcoming).

Market Transition Theory: The Sociology of Institutional Change in China, (Beijing:
Social Science Academy Press, forthcoming).

On Capitalism, edited by Victor Nee and Richard Swedberg. (Stanford: Stanford
University Press, 2007).

The Economic Sociology of Capitalism, edited by Victor Nee and Richard Swedberg.
(Princeton: Princeton University Press, 2005).

Remaking the American Mainstream: Assimilation and the New Immigration, with
Richard Alba. (Cambridge, MA: Harvard University Press, 2003).

The New Institutionalism in Sociology, edited by Mary Brinton and Victor Nee. New
York: Russell Sage Foundation, 1998.

Paperback edition, Stanford: Stanford University Press, 2001.

*The Economic Transformation of South China: Reform and Development in the Post Mao
Era*, edited by Thomas Lyons and Victor Nee. Cornell East Asia Series, no. 70 (Ithaca:
East Asia Program, 1994).

Social Exchange and Political Process in China (New York: Garland Press, 1991).
Published in the Harvard Dissertation Series in Sociology, edited by Aage Sorenson and Liah Greenfield.

Remaking the Economic Institutions of Socialism: China and Eastern Europe, edited by Victor Nee and David Stark. (Stanford: Stanford University Press, 1989).

State and Society in Contemporary China, edited by Victor Nee and David Mozingo. (Ithaca, N.Y.: Cornell University Press, 1984).

Spanish edition, 1984.

China's Uninterrupted Revolution: From 1850 to the Present, edited by Victor Nee and James Peck. (New York: Pantheon Book, 1975).

A book of the month selection of the International Affairs Book Club.

Longtime Californ': Documentary Study of an American Chinatown, with Brett de Bary. (New York: Pantheon Books, 1973).

Selected as one of 10 best books of 1973 by the *New York Times*.

Paperback edition, Houghton Mifflin, 1974;

Pantheon Books paperback 1976; 1981.

Third edition: Stanford University Press, 1986

The Cultural Revolution at Peking University. New York: Monthly Review Press, 1969.
Translated into Swedish, Italian, Spanish, French editions.

PUBLICATIONS: ARTICLES AND CHAPTERS

“Political Capital in a Market Economy” (co-authored with Sonja Opper). *Social Forces* (forthcoming).

“A Theory of Innovation: Institutions, Markets and the Firm” (co-authored with Jeonghan Kang and Sonja Opper). *Journal of Institutional and Theoretical Economics* (forthcoming).

“Governing Financial Markets” (co-authored with Sonja Opper). In *Manufacturing Markets: Legal, Economic and Political Dimensions*, edited by Eric Brousseau and Jean Michel Glachant. Cambridge: Cambridge University Press (forthcoming).

“Bottom-up Economic Development and the Role of the State.” *Sociologica* (forthcoming)

“Endogenous Institutional Change in the Rise of Market Capitalism in China” co-authored with Sonja Opper. *Sociologia del lavoro* (forthcoming).

“Bringing Market Transition Theory to the Firm” (Victor Nee and Sonja Oppen). In *Research in the Sociology of Work*, 19 (2009): 3-34.

“Assimilation” (with Richard Alba) *Encyclopedia of the Social Sciences*. 2009

“Bureaucracy and Financial Markets” (Victor Nee and Sonja Oppen). *Kyklos* 62 (2009): 293-315.

“On Politicized Capitalism” (Victor Nee and Sonja Oppen) Pp. 93-127 in *On Capitalism*, edited by Victor Nee and Richard Swedberg. Stanford: Stanford University Press, 2007.

“Introduction” (Victor Nee and Richard Swedberg). Pp. 1-18 in *On Capitalism*, edited by Victor Nee and Richard Swedberg. Stanford: Stanford University Press, 2007.

“Developmental State and Corporate Governance in China” (co-authored with Sonja Oppen and Sonia Wong). *Management and Organization Review* 3 (2007): 19-51.

“Economic Transformation in Post-Communist Societies” (co-authored with Sonja Oppen) in *The International Encyclopedia of Economic Sociology*. (London: Blackwell, 2006).

“Remaking Inequality: Institutional Change and Income Stratification in Urban China” (co-authored with Yang Cao) *Journal of the Asia Pacific Economy* (JAPE) 2005.

“Introduction” (with Richard Swedberg) Pp. xxxv-xlvi in *The Economic Sociology of Capitalism*, Victor Nee and Richard Swedberg, eds. (Princeton: Princeton University Press, 2005).

“Economic Sociology and New Institutional Economics” (with Richard Swedberg) Pp. 789-818 in *The Handbook of New Institutional Economics* Claude Menard and Mary Shirley, eds. (Amsterdam: Kluwer Publication, 2005).

“The New Institutionalism in Economics and Sociology.” In *The Handbook of Economic Sociology* (2nd ed.) edited by Neil Smelser and Richard Swedberg. (Princeton: Princeton University Press, 2005).

“Market Transition and the Life Course in Post-Communist Societies” In *Historical Influences on Lives and Aging*, Glen Elder and K. Warner Schaie, eds. (New York: Springer Publishing, 2005).

“Organizational Dynamics of Institutional Change: Politicized Capitalism in China.” Pp. 53-74, *The Economic Sociology of Capitalism*, edited by Victor Nee and Richard Swedberg. (Princeton: Princeton University Press, 2005).

“A New Concept of Assimilation: A Reformulation for the New American Diversity” (with Richard Alba) *Migrationsreport 2004: Fakten – Analysen – Perspektiven*, hg. für den Rat für Migration v. Klaus J. Bade, Michael Bommes und Rainer Münz, Campus, Frankfurt a. M., New York 2004 (vorauss Juni 2004)

“Market Transition and the Firm: Institutional Change and Income Inequality in Urban China” (with Yang Cao) *Management and Organizations Review* 1,1 (2004): 23-56.

“Institutional Change and Immigration” in *Host Societies*, Jeffrey Reitz, ed. (San Diego: Center for Migration Studies, 2003).

“Assimilation Theory Reformulated” (with Richard Alba) in *Reinventing the Melting Pot*, Tamar Jacoby, ed. New York: Free Press, 2003.

“Post-Communist Inequality: The Problem of Continuity and Discontinuity” (with Yang Cao) *Research on Social Stratification and Mobility*, 19 (2002): 3-39

“Networks Beyond the Ethnic Labor Market” (with Jimmy Sanders and Scott Sernau) *Social Forces*. 81 (2002): 281-314

“Postsocialist Stratification” pp. 846-851 in *Social Stratification in Sociological Perspective*, David Grusky, ed. (Boulder CO: Westview Press, 2001).

Third edition, 2007.

“Trust in Ethnic Ties: Social Capital and Immigrants” (with Jimmy Sanders) Pp. 374-392 in *Trust and Society*, edited by Karen Cook (Russell Sage Foundation, 2001).

“Understanding the Diversity of Immigrant Incorporation: A Forms of Capital Model.” (with Jimmy Sanders) *Ethnic and Racial Studies* (2001).

“The Rational Peasant in China: Flexible Adaptation, Risk Diversification and Opportunity” (with Lisa Keister) *Rationality and Society* (2001).

“Gender Inequality and Nonfarm Employment in Rural China” (with Rebecca Matthews) *Social Science Research* 29 (2000): 606-632.

“New Thinking on Assimilation.” *World on the Move*, newsletter for the International Migration Section, American Sociological Association, 2000.

“The Role of the State in Making a Market Economy.” *Journal of Institutional and Theoretical Economics* 156 (2000): 64-88.

“Controversies and Evidence in the Market Transition Debate.” (with Yang Cao) *American Journal of Sociology* 105 (2000):1175-1195.

“Path Dependent Societal Transformation: Stratification in Mixed Economies” (with Yang Cao) *Theory and Society* 28(1999): 799-834.

“Institutional Foundation of Robust Economic Performance: Public-Sector Industrial Growth in China” (with Sijin Su) Pp. 167-187 *Industrial Transformation in Eastern Europe in the Light of the East Asian Experience*, Jeffrey Henderson, ed. (Houndmills: MacMillan Press, 1998).

“The Emergence and Diffusion of Institutional Forms” (with David Strang) *Journal of Institutional and Theoretical Economics*. 154 (December 1998): 706-715.

“Norms and Networks in Economic and Organizational Performance.” *American Economic Review* Vol. 87, No. 4, (1998: 85-89).

"Embeddedness and Beyond: Institutions, Exchange and Social Structure" (with Paul Ingram) pp. 19-45 in *The New Institutionalism in Sociology*, Mary Brinton and Victor Nee, eds. (New York: Russell Sage Foundation, 1998).

“Sources of the New Institutionalism” pp. 1-16 in *The New Institutionalism in Sociology*, Mary Brinton and Victor Nee, eds. (New York: Russell Sage Foundation, 1998).

“Introduction.” (with Mary Brinton) pp. Xvii-xxi in *The New Institutionalism in Sociology*, Mary Brinton and Victor Nee, eds. (New York: Russell Sage Foundation, 1998).

“Rethinking Assimilation Theory in a New Era of Immigration” (with Richard Alba) *International Migration Review* Vol. 31, No.4 (Winter, 1997): 826-874.

Reprinted in *Handbook of International Migration*, edited by Charles Hirschman, Philip Kasinitz, Josh DeWind. (New York: Russell Sage Foundation, 1999).

"Markets and Inequality in the Transitions from State Socialism" (with Raymond Liedka) pp. 202-224 in *Inequality, Democracy, and Economic Development*, edited by M. Midlarsky. (Cambridge: Cambridge University Press, 1997).

“Immigrant Self-Employment: The Family as Social Capital and the Value of Human Capital” (with Jimmy Sanders) *American Sociological Review* 60 (1996):231-250.

"Market Transition and Societal Transformation in Reforming State Socialism" (with Rebecca Matthews) *Annual Review of Sociology* vol. 22 (1996): 401-36.

"The Emergence of a Market Society: Changing Mechanisms of Stratification in China. *American Journal of Sociology* 100 (1996): 908-949.

"Institutions, Social Ties, and Credible Commitment: Local Corporatism in China" (with Sijin Su) pp. 111-134 in *Reforming Asian Economies: The Growth of Market*

Institutions, edited by John McMillan and Barry Naughton (Ann Arbor: University of Michigan Press, 1996).

"Federalist and Local Corporatist Theories: A Comment on an Empirical Test." in *The Political Economy of Property Rights: Institutional Change and Credibility on the Road from Serfdom*, David Weimer, ed. (Cambridge: Cambridge University Press, 1996).

"Job Transitions in an Immigrant Metropolis: Ethnic Boundaries and Mixed Economy" (with Jimmy Sanders, and Scott Sernau) *American Sociological Review* 59 (1994):849-872.

"Institutional Change and Regional Growth: An Introduction." pp. 1-12 in *The Economic Transformation of South China: Reform and Development in the Post-Mao Era*, Thomas Lyons and Victor Nee, eds. (Ithaca, NY: East Asia Series, 1994).

"Sleeping with the Enemy: A Dynamic Model of Declining Political Commitment in State Socialism" (with Peng Lian) *Theory and Society* 23 (1994): 253-296.

"Problems of Verification in the Enclave Economy Debate: Comment on Portes and Jensen" (with Jimmy Sanders) *American Sociological Review* 57 (1992): 415-418.

"Organizational Dynamics of Market Transition: Hybrid Property Forms and Mixed Economy in China." *Administrative Science Quarterly* 37 (1992): 1-27.

"Forms of Capital in the Incorporation of Recent Immigrants." pp. 24-37 in *In Defense of the Alien*, Lydio Tomasi, ed., (Staten Island: Center for Migration Studies, 1992).

"Social Inequalities in Reforming State Socialism: Between Redistribution and Markets in China." *American Sociological Review* 56 (1991): 267-282.

"Peasant Entrepreneurs in China's Second Economy: An Institutional Analysis" (with Frank Young) *Economic Development and Cultural Change* 39: 293-310.

"Structural and Cultural Change: Patterns of Chinese and Japanese Immigration to America." *Shiso No Kagaku* [Journal of Science], January (1990).

"Institutional Change and Economic Growth in China: The View from the Villages" (with Sijin Su) *The Journal of Asian Studies* 49 (1990): 3-25.

"A Theory of Market Transition: From Redistribution to Markets in State Socialism." *American Sociological Review* 54 (1989): 663-681.

"Peasant Entrepreneurship and the Politics of Regulation in China" pp. 169-207 in *Remaking the Economic Institutions of Socialism*, Victor Nee and David Stark eds, (Stanford: Stanford University Press, 1989).

"Towards an Institutional Analysis of State Socialism" (with David Stark) pp. 1 -31 in *Remaking the Economic Institutions of Socialism*, Victor Nee and David Stark, eds., Stanford: Stanford University Press.

Revised version: *Stato e Mercato* (Firenze, Italy).

"Limits of Ethnic Solidarity in the Enclave Economy" (with Jimmy Sanders) *American Sociological Review* 52 (1987): 745-767.

"On Testing the Enclave Economy Hypothesis: Reply to Portes and Jensen" (with Jimmy Sanders) *American Sociological Review* 52 (1987): 771-773.

"Peasant Household Economy and Decollectivization in China." *Journal of Asian and African Studies* 21 (1986): 185-203.

"A Sociologist in China." *Arts & Sciences*. College of Arts & Sciences, Cornell University. Vol. 7, May 1986).

"On the Road to Parity: Determinants of Asian American Socioeconomic Achievement." (with Jimmy Sanders) *Ethnic and Racial Studies* 8 (1984): 75-94.

Reprinted in Richard Alba ed., *Ethnicity and Race in the U.S.A.: Toward the Twenty-First Century* London: Routledge & Kegan Paul.

"Asian American Socioeconomic Achievement: the Strength of Family Bonds" (with Herbert Wong) *Sociological Perspective* 8 (1985): 281-306.

"An Assessment of Chinese Socialist Urbanism" *Contemporary Sociology* 14 (1985): 447-450.

"Peasant Household Individualism" pp. 164-192 in *Chinese Rural Development: the Great Transformation*, William Parish (ed.). (New York: M.E. Sharpe, 1984).

Reprinted in *International Journal of Sociology*, Vol. XIV No. 4.

"Between Center and Locality: State, Militia, and Village" pp. 223-243 in *State and Society in Contemporary China*, Victor Nee and David Mozingo, eds., (Ithaca, NY: Cornell University Press, 1983).

"Introduction" (with David Mozingo) pp. 17-26 in *State and Society in Contemporary China*, edited by V. Nee and D. Mozingo. (Ithaca, NY: Cornell University Press, 1983).

"Post Mao Change in a South China Production Brigade." *Bulletin of Concerned Asian Scholars* (April - June 32-39, 1983).

Reprinted in *China from Mao to Deng*, Armonk, NY : ME Sharpe Press.

"Decentralization, the Market, and Participation in Rural China." *Rural Development Participation Review* 2 (1983 Winter) 2-7.

"Peasant Life in the People's Republic of China." *Cornell Review*. (Fall 1980): 11-17.

"The Political and Social Bases of Four Modernization in China." *Columbia Journal of World Business* 14 (1979): 23-32.

"Towards a Social Anthropology of the Chinese Revolution." *Bulletin of Concerned Asian Scholars* 2 (1979): 40-50.

"Behind the Turmoil in China." *Working Papers Towards a New Society*. January-February: 88-93 (1978).

Reprinted in *Bulletin of Concerned Asian Scholars* Vol. 11 No. 4 (1979).

"Revolution and Bureaucracy: Shanghai in the Cultural Revolution" pp. 322-414 in *China's Uninterrupted Revolution*, Victor Nee and James Peck, eds. (New York: Pantheon Book, 1975).

"Introduction: Why Uninterrupted Revolution?" (with James Peck) Pp. 3-56 in *China's Uninterrupted Revolution*, edited by V. Nee and J. Peck. New York: Pantheon Books, 1975.

"Peking interi wa nai o kangaete iru ka" (with Brett de Bary) [Social Role of Chinese Intellectuals], *Chuo Koron*. Tokyo, 1972.

"Longtime Californian" (with Brett de Bary) *Bulletin of Concerned Asian Scholars*. Vol. 4, No. 2, 1973.

"The Kuomintang in Chinatown," (with Brett de Bary) *Bridge* 6 (1972): 20-24.

Reprinted in Emma Gee (ed.) *Counterpoint: Perspectives on Asian Americans*. Los Angeles: Asian American Studies Center, UCLA (1976).

"Revolution Culterelle a l'Universite de Pekin." *Les Temps Modernes* 27 annee, No. 289-290 (1970).

BOOK REVIEWS AND SHORT ARTICLES

Review: *Inheriting the City: The Second Generation Comes of Age* (with Jennifer Holdaway, Philip Kasinitz, and John Mollenkopf), (Harvard University Press and Russell Sage Press, 2008). In *American Journal of Sociology*, 2010

“China in Transition.” *Accounts* 7 (2008): 3-8.

Review (co-authored with Richard Alba): *America's Newcomers and the Dynamics of Diversity*, by Frank D. Bean and Gillian Stevens, (New York: Russell Sage Foundation) 309 pp. \$32.50, in *Contemporary Sociology*.

Review, *The Basic Features of Postcommunist Capitalism in Eastern Europe: Firms in Hungary, the Czech Republic, and Slovakia* by Lawrence Peter King, in *Journal of Comparative Economics*, 30 (2002): 223-224.

Review, *Legacies: The Story of the Immigrant Second Generation*, by Alejandro Portes and Ruben G. Rumbaut (Berkeley and Los Angeles: University of California Press and Russell Sage Foundation, 2003) in *American Journal of Sociology*, 2003

Review of *Calamity and Revival in China: State, Rural Society and Institutional Change Since the Great Leap Forward*, by Dali Yang. *American Journal of Sociology*, 1997.

Review of *From the Soil: The Foundations of Chinese Society* (A translation of Fei Xiaotong's *Xiangtu Zhongguo*). Gary Hamilton and Wang Zeng (Berkeley: University of California Press, 1992), *Contemporary Sociology*.

Review of *Rational Choice Theory: Advocacy and Critique*, edited by James S. Coleman and Thomas J. E. Fararo. (Newbury Park: Sage Publication, 1992) in *American Journal of Sociology* 99:1087-1089.

"Ethnic Studies must not depart from Traditional Learning." *Stanford Daily* February 12, 1990.

Review of *State and Peasant in Contemporary China* by Jean Oi, (Berkeley: University of California Press, 1989), *Contemporary Sociology*.

Review of *Agrarian Radicalism in China. 1968-1981*, by David Zweig (Cambridge, Mass.: Harvard University Press, 1989), *Journal of Asian Studies*.

Review of *Power and Morality in a Chinese Village*, by Richard Madsen (Berkeley: University of California Press, 1986), *American Journal of Sociology*. 1987.

Review of *Guests in the Dragon*. by Burton Pasternak. *Contemporary Sociology*, September, 1985.

Review of *Processes of the World-System*, edited by Terence Hopkins & Immanuel Wallerstein (Los Angeles: Sage Press, 1981) *Social Forces*, 1984.

Review of *Sojourners and Migrants: Chinese Migrants in Hawaii* by Clarence Glick. *American Journal of Sociology*. 1984

PROFESSIONAL CITIZENSHIP

Co-organizer with Rafael Wittek and Tom Snijders, “Conference on Rational Choice Social Research” convened at the Russell Sage Foundation, New York City, November 2007

Elected Chair, Rationality and Society Section, American Sociological Association, 2004

Member, National Science Foundation Sociology Review Panel, 2001 – 2003

Chair, Awards Committee for the Society for Comparative Research

Elected Council Member of the Economic Sociology Section of the American Sociological Association, 2002-2004.

Elected-at-Large Member of the Council of the American Sociological Association, 2001-2004.

Member, External Review Committee for American Studies at Brown University, February 2001.

Member, Nominations Committee, International Society of the New Institutional Economics, 1998-2000.

Chair, 2000-01, International Migration Section, American Sociological Association

Member, Study Group for the National Institutes of Health Center for Scientific Review Behavioral and Social Sciences, 1997-2001.

Member, Publications Committee in the Eastern Sociological Society, 1995-98.

Elected Council Member, International Migration Section of the American Sociological Association

Elected member, Nominations Committee of the American Sociological Association.

Co-organizer, Rationality and Rational Choice Seminar, Center for Advanced Study in the Behavioral Sciences, 1996-1997.

Member, National Research Council Committee on the Health and Adjustment of Immigrant Children and Families, 1995-1997.

Organizer of the Thematic Session on "The Changing Role of Asian Americans" at the 1995 Annual Meeting of the American Sociological Association, Washington D.C.

Organizer of the "Workshop on New Institutionalism in Economic Sociology" sponsored and convened at the Russell Sage Foundation, May 5-6, 1995.

Organizer of the "Conference on New Institutionalism in Economic Sociology" sponsored by the Comparative Societal Analysis Program, October 7-9, 1994 at Cornell University.

Organizer and chair of panel on "Property Rights, Institutions, and Social Structure in China" at the 46th Annual Meeting of the Association of Asian Studies, March 24-27, 1994 in Boston.

Organizer of the "Workshop on the Great Transformation in South China and Taiwan: Markets, Organizer and chair of panel on "Property Rights, Institutions, and Social Structure in China" at the 46th Annual Meeting of the Association of Asian Studies, March 24-27 in Boston

Member of the National Academy of Science Working Group on Ethnicity and Immigration, 1989.

Organizer of Thematic Session on "From Exchange to Structure" and the Special Session on "The New Immigration." 84th Annual Meeting of the American Sociological Association August 9 to 13, 1989 at San Francisco.

Member of the Program Committee for the 1989 Annual Meetings of the American Sociological Association.

Organizer of Thematic Session on "Sociology and Warfare"; organizer and presider of Thematic Session on "Sociology and Social Policy." 83rd Annual Meetings of the American Sociological Association, Atlanta, August 1988.

Organizer for the panel on "Race, Immigration and Ethnicity: Competition and Conflict" and Organizer for the panel on "Race, Immigration and Ethnicity: Identity and Social Mobility" at the 82nd Annual Meetings of the American Sociological Association, August 17 at Chicago.

Member of the Program Committee for the 1988 Annual Meetings of the American Sociological Association.

Editorial Board, *Bulletin of Concerned Asian Scholars*, 1975-1989.

Member of the Program Committee for the New York Conference on Asian Studies, October 17 to 18, 1986, New Paltz, New York.

Member of the Committee on World Sociology, American Sociological Association, 1985 to 1988.

Organizer with David Stark of "The Conference on Social Consequences of Market Reforms in China and Eastern Europe" at the Casa de Maria Conference Center in Montecito California, May 8 to 11, 1986, Sponsored by the American Sociological Association and the University of California at Santa Barbara.

Chair, Committee on the Status of Racial and Ethnic Minorities, American Sociological Association, 1982 to 1984

University Service at Cornell

Chair, Graduate Admissions Committee, Department of Sociology, 2009-10

Chair, Faculty Search Committee, Department of Sociology, 2008

Organizer mini-symposium "Ghetto, Hyper-Ghetto, Anti-Ghetto: Reconfigurations of Marginality in the Metropolis," April 17, 2008, co-sponsored by the Center for the Study of Economy and Society, Society for the Humanities and Center for the Study of Inequality

Co-organizer (with Richard Swedberg), "Conference on Capitalism and Entrepreneurship, sponsored by the Center for the Study of Economy and Society, September 28-29, 2007."

Co-organizer (with Richard Swedberg), "Conference on the 100th Anniversary of the *Protestant Ethic and the Spirit of Capitalism*" sponsored by the Center for the Study of Economy and Society and funded by the John Templeton Foundation, 2004.

Member, Social Science Advisory Council, 2003-04.

Organizer, "Symposium Comparing China and India's Economic Miracle" sponsored by the Center for the Study of Economy and Society, Cornell University, January 30, 2004.

Organizer: "Economic Sociology of Development" for Conference on 100 Years of Development Economics, May 2004, Cornell University

Organizer, Working Group and Seminar Series on "Institutions, Networks and Economy" Fall and Spring 2003-04, sponsored by the Center for the Study of Economy and Society, Cornell University.

Co-chair (with Ravi Kanbur), Social Science Advisory Council 2002-03.

Organizer, "Inaugural Symposium" for the Center for the Study of Economy and Society, Cornell University, February 2003

Faculty sponsor, Messenger Lecture Series "Politics After September 11th" Francis Fukuyama, 2003

Co-organized, "Conference on Institutional Change in East Asia" April 2003

Co-organized, "Immigration and Economic Life Working Group" Fall Term 2002

Member, China Committee of the East Asia Program, 2002 –

Member, selection committee of the Graduate School for the Liu-Wu Award, 2003

Co-chair, Social Science Advisory Committee, 2002-03

Organizer, Conference on the Economic Sociology of Capitalism, sponsored by the Center for the Study of Economy and Society, September, 2001

Member Mellon Foundation Humanities Seminar, 2001-02

Member of the Executive Committee of the East Asia Program, 1997-98

Co-coordinator of the Institutions and Rationality Seminar Series, 1997-98

Director of Graduate Studies, Department of Sociology, 1995-96

Co-organizer (with Thomas Lyons) of the Conference on Economy and Society in South China, sponsored by the East Asia Program, October 1993 at Cornell University.

Chair, senior search committee in sociology, 1988, 1991, 1995

Member of the Provost's Human Resource Committee, 1993-4

Organizer for the "Conference on Entrepreneurship and Social Structure," sponsored by the East Asia Program, October 1992 at Cornell University.

Participant in the Merrill Presidential Scholar Program, May 19 to 21, 1992, Cornell University.

Organizer of the "Workshop on Explaining the Transitions from State Socialism," sponsored by the Comparative Societal Analysis and Global Transitions programs of the Einaudi Center for International Studies.

Organizer of the "Workshop on New Institutional Theory in the Social Sciences" sponsored by the Comparative Societal Analysis Program, Cornell University, November 8-10, 1991

Member of Executive Committee of the East Asia Program, 1988-1992.

Member of the Faculty Advisory Board of the Asian American Studies Program, 1987 to 1992

Member China Committee of the East Asia Program 1993 to present

Member Committee on Affirmative Action, College of Arts and Sciences

Chair, Sociology colloquium committee 1987 to 1990

Director of Undergraduate Studies for the Department of Sociology (1985 to 1987)

Member of the Committee on Public Safety (1987 to 1989)

Member China Exchange Committee, Cornell Graduate School

Member of East Asia Program Publication Committee 1987

Organizer of the "Workshop on State and Society in Contemporary China" sponsored by the Center for International Studies and the China-Japan Program at Cornell University, May, 1978.

University service at UCSB: Department of Sociology - Executive Committee (1980, 1983 to 1984); Director, Asian American Studies Program, UCSB, 1978-1982. Sociology Colloquium Committee (1982 to 1984).

Referee for journals: *American Journal of Sociology*, *American Sociological Review*, *Social Forces*, *Theory and Society*, *Rationality and Society*, *Administrative Science Quarterly*, *Social Science Research*, *European Sociological Review*, *Sociological Forum*, *Social Science Quarterly*, *International Migration Review*, *Sociology of Education*, *Sociological Perspectives*, *Sociological Quarterly*, *Modern China*, *Journal of Asian Studies*, *Urban Life*, *International Organization*, *Human Organization*, *Journal of Women's Studies*, *Studies in Comparative International Development*, *University of California Press*, *University of Washington Press*, *Stanford University Press*, *Temple University Press*.

Reviewed: National Science Foundation (Sociology division), National Institute of Health (NICHD review panel), CSCPRC of the National Academy of Sciences, National Endowment for the Humanities, and the American Council of Learned Societies.

PAPERS PRESENTED AT PROFESSIONAL MEETINGS (1995-2009)

Paper presented on entrepreneurship and endogenous institutional change at the “Conference on 20 Years After” sponsored by the University of California at Irvine, Laguna Beach, December, 2009

Paper presented on economic sociology of innovation at the “Conference on Manufactured Markets: Legal, Economic and Political Dimensions”, sponsored by the University of Paris at the Villa Finaly in Florence, Italy, June 10-14, 2009

Paper presented on market structure and fungibility of political capital presented at the Annual Meeting of the International Society for New Institutional Economics, Haas School of Business, June 19, 2009, University of California at Berkeley.

Keynote speech at the “Thirtieth Anniversary Conference of Chinese Sociology” sponsored by the Chinese Sociological Association and Fudan University, December 11, 2008

Paper on market transition and political capital (with Sonja Opper) presented at the Annual Meeting of the European Economics Association, Milan, August 2008.

Paper on political connections and market structure (with Sonja Opper) presented at the Annual Meeting of the Academy of Management Science, Anaheim, August 2008.

Paper extending Schumpeter’s theory of innovation (with Jeong-han Kang and Sonja Opper) presented at the Conference on Capitalism and Entrepreneurship, September 28-29, 2007

Paper on financial markets presented at the Oliver Williamson Seminar Series, Haas School of Business, November 1, 2007, University of California at Berkeley.

Paper on a theory of assimilation presented at the Conference on Rational Choice Social Research, November 4-5, 2007 at the Russell Sage Foundation, New York City.

“Author Meets Critic” on Avner Greif’s *Institutions and the Making of the Modern Economy* (Cambridge: Cambridge University Press, 2006; Society for the Advancement of Socio-Economics, Copenhagen June 2007.

Paper on theory of innovation presented at the Annual Meetings of the International Society for New Institutional Economics, Reykavik, Iceland, June 2007.

Paper on rent-seeking and social structure of markets presented at the Conference on the Emergence of Social Organization, University of Chicago Business School, November 7, 2007.

Paper presented on immigration and assimilation at the University of Groningen, Department of Sociology, January, 2006

Paper on social capital and finance presented at Purdue University, Department of Sociology, March 2006.

“Author Meets Critic” Annual Meeting of the Eastern Sociological Society, Boston MA March 2006

Paper on bureaucracy and financial markets presented at the Annual Meeting of the American Sociological Association, Montreal, August 2006

Paper on determinants of financial market development in 56 countries presented at the Annual Meeting of the American Economic Association, Chicago, January 2006

Paper presented on corporate governance at the Annual Meeting of the American Sociological Association, Philadelphia, August 2005.

Paper presented on bureaucratic performance and corporate governance at the “Conference on the Future of the Social Sciences,” October, 2005, Cornell Club, New York City

Paper presented on state intervention and firm performance at the Center for the Study of Private Enterprise, School of Economics, Zhejiang University, October 2005.

Paper presented on global corporate governance at the Shanghai Academy of Social Sciences, November 2005

“Institutional Ecology of Modern Wealth Making,” Paper presented at “New Directions in Wealth Research” organized by Richard Swedberg, co-sponsored by the Center for the Study of Economy and Society and Center for the Study of Inequality

“Institutional Change, the Firm and Income Inequality in Urban China,” (with Yang Cao). International Association on Chinese Management and Organizations, Beijing, June 2004.

“A Place for Hybrid Methodologies.” Paper prepared for presentation at the Conference on Qualitative Methods in the Social Sciences sponsored by the Sociology Program of the National Science Foundation, Arlington, Virginia, July 2004.

“Consequences of Political Interventions in Firms Listed on the Shanghai Stock Exchange” Annual Meeting of the International Society for New Institutional Economics, Budapest, Hungary October 2003.

“New Institutionalism in Economic Sociology”, Rational Choice Mini-Conference, Annual Meeting of the American Sociological Association, Atlanta, August 2003.

“Post-Communist Inequality: The Problem of Continuity and Discontinuity.” with Yang Cao Paper presented at the Annual Meeting of the American Sociological Association, Chicago, August 2002

“North’s Theory and the Organizational Dynamics of Institutional Change.” The Conference on the Economic Sociology of Capitalism, Cornell University, September 28-29, 2001.

“Market Transition in Post-Communist Societies.” Thematic Session. Annual Meeting of the American Sociological Association, August 19, 2001, Anaheim California.

“Social Mechanisms of Assimilation: Immigration and the Second Generation.” Annual Meeting of the American Sociological Association, August 18, 2001, Anaheim California.

“Non-wage Benefit in the People’s Republic of China: An Organizational Analysis.” (with Rebecca Matthews and Yang Cao). Annual Meeting of the American Sociological Association, August 18, 2001, Anaheim California.

“Why Institutions Matter: Segmented Assimilation and Beyond.” Paper presented at the conference on “Host Societies and the Reception of Immigrants” sponsored by the Weatherhead Center for International Affairs, Harvard University, May 10-12, 2001.

“Institutional Change, Path Dependence and Emergent Social Order: The Rational Peasant in China” (with Lisa Keister). Paper presented at the third annual meetings of the International Society of the New Institutional Economics, Tübingen, Germany, September, 2000.

“Comment” for the panel on the “New Institutionalism in the Social Sciences,” Rational Choice Section, Annual Meeting of the American Sociological Association, Washington D.C., August, 2000.

“Social Inequalities in an Era of Economic Reform” (with Lisa Keister). Paper presented at the Annual Meeting of the American Sociological Association, Washington D.C., August, 2000.

“The Role of the State in Making a Market Economy.” Paper presented at the third annual meetings of the International Society of the New Institutional Economics, October, 1999.

“Path Dependence in the Transition to a Market Economy.” Paper presented at the International Seminar on the New Institutional Economics Big-bang Transformations of

Economic Systems as a Challenge to New Institutional Economics, June 9-11, 1999, Wallerfangen/Saar, Germany.

“Networks and Property Rights in a Transition Economy.” Paper presented at the 1st Annual Conference on Economic Sociology, University of Pennsylvania, April 1999.

“Norms and Networks in Economic and Organizational Performance.” presented at the panel on “The New Institutional Economics,” Presider: Douglass C. North; Panel: Ronald H. Coase, Oliver Williamson, Avner Greif, Barry R. Weingast, and Victor Nee. Annual Meeting of the American Economic Association, Chicago, January 3, 1998.

“Social Organization and Norms of a High Energy Physics Lab” (with Geoffrey Woodley) Presented at the Workshop on the Emergence of Norms at the Russell Sage Foundation, April, 1998

“The Role of Informal Constraints in Economic Institutions.” Paper presented at the Annual Meeting of the American Economic Association, Chicago, January 3, 1998.

“Sociology’s Contribution to the New Institutionalism in Economics.” 1st Annual Meeting of the International Society of New Institutional Economics, Washington University, St. Louis, October 1997.

“Where did the New Institutionalism Come From” 91st Annual Meeting of the American Sociological Association, August 1997, Toronto, Canada

“Stratification in Path Dependent Societal Transformation.” Center for Advanced Study in the Behavioral Sciences, November 1996.

“Occupational Mobility and Transformative Change in Agrarian China” (with Lisa Keister) Paper presented at the 90th Annual Meeting of the American Sociological Association, August, 1996, New York City.

“Global Economy and the Urban Transition in China” (with Yang Cao) Paper presented at the 90th Annual Meeting of the American Sociological Association, August, 1996, New York City.

"Individualist and Solidaristic Accommodation among post-1965 Immigrants" Paper presented at the 90th Annual Meeting of the American Sociological Association, August 19-23, 1995, Washington D.C.

"Institutional Effects on Inequality in China's Transition from State Socialism" (with Raymond V. Liedka), paper presented at the 90th Annual Meeting of the American Sociological Association, August 19-24, 1995, Washington D.C.

"Studies in Market Transition" (with Yang Cao, Lisa Keister and Rebecca Matthews) Papers presented at the Workshop on the Market Transition Debate, sponsored by the

Center for Social Theory and Comparative History, University of California at Los Angeles, May 22, 1995.

"The Economic Role of Social Institutions." (With Sijin Su) Paper presented at the Conference on Processes of Industrial Transformation: East-Central European and East Asian Comparison in Budapest, Hungary, May 4-7, 1995.

"An Outline of an Institutional Theory of Social Inequality." (with Ivan Szelenyi) Paper presented at the Workshop on New Institutionalism in Economic Sociology, Russell Sage Foundation, May 5-6, 1995.

Invited Lectures, 1995-2009

Italian Sociological Association, section in economic sociology, Sardegna, Italy 2009

University of Bamberg, Germany, Department of Sociology 2009

New School for Social Research, Sociological Imagination Seminar Series 2009

Johns Hopkins University, Department of Sociology 2009

Fudan University, Department of Sociology 2008

Shanghai Academy of Social Sciences, Institute of Sociology 2007

University of California, Berkeley, Haas School of Business 2007

University of Groningen, Department of Sociology 2006

Purdue University, Department of Sociology 2006

Zhejiang University, School of Economics 2006

University of Groningen, ICS, February 2005

Beijing University, Department of Sociology 2005

New York University, Stern School of Business 2004

Columbia University, School of Business, March 2003

Princeton University, Department of Sociology, February 2003

Yale University, Department of Sociology, October 2002

Harvard University, Fairbank Center for East Asian Research, May 2001.

Harvard University, Department of Sociology, April 2001.

Yale University, Center for Comparative Social Research and Department of Sociology, March 2001.

University of California at Los Angeles, Comparative Social Analysis Seminar Series (1998)

University of Michigan, Seminar on Institutions, Department of Political Science (1997)

University of Pennsylvania, Sawyer Seminar Series, Department of Sociology (1997)

University of California at Berkeley, Haas Business School, Workshop on Comparative Institutional Analysis (1997)

University of California at Los Angeles, Department of Sociology (1997)

University of Michigan, Department of Sociology and Center for Chinese Studies (1996)

Columbia University, Department of Sociology (1996)

Princeton University, Department of Sociology, Economic Sociology Colloquium Series (1995)

University of South Carolina, Bruce Mayhew, Jr. Memorial Lecture Series (1995)

New York University, Department of Sociology, Methods Seminar Series (1995)

University of California at Los Angeles, Department of Sociology, Comparative Societal Analysis Seminar Series (1995)

TEACHING AT CORNELL, UNIVERSITY, 2006-10

Graduate Courses:

Graduate Theory Seminar: (first semester in core graduate sequence)

Seminar on Economic Sociology
Seminar on Institutions, Markets and the Firm
Seminar on the New Institutionalism

Undergraduate Courses:

Introduction to Economic Sociology
Economic Sociology of Entrepreneurship and Capitalism

Graduate Students, Cornell University 1994-2008:

(as dissertation committee chair, or minor member working closely with student)

Yujun Wang, expected Ph.D., 2010 (chair)

Job offered: Assistant Professor, Department of Sociology, Fudan University,
Shanghai

Scott Golder, (minor)

Miles Alexander Garrett, (chair)

Christopher Yenkey, expected Ph.D., 2010 (minor)

Ningxi Zhang, expected Ph.D., 2010 (chair)

Li Ma, Department of Sociology, expected Ph.D., 2010 (chair)

Mark Dodd Jacobs, Department of Sociology, Ph.D., 2009 (chair)

Douglas Savitsky, Research Fellow, Center for the Study of Economy and Society, Ph.D.,
2009 (chair)

John Calvin Scott, Assistant Professor, School of Public Health, University of North
Carolina, Chapel Hill, Ph.D. 2008 (chair)

Arnout van de Rijt, Assistant Professor, Department of Sociology, SUNY, Stony Brook,
Ph.D. 2008 (minor)

Ko Kuwabara, Assistant Professor, Business School, Columbia University, Ph.D. 2008
(minor)

Zhilin Liu, Assistant Professor, Urban Planning, Tsinghua University, Beijing, Ph.D.
2007 (co-chair)

Zun Tang, Assistant Professor, Haverford College, Ph.D. 2007 (chair)

Erik Volz, Post-doctoral Associate, University of California, San Diego, Ph.D. 2007 (minor)

Paul M.L. Lee, Assistant Professor, Business School, Florida International University, Ph.D. 2007 (chair)

Elaine Howard Ecklund, Assistant Professor, Department of Sociology, Rice University Ph.D. 2004 (chair)

Marianna A. Klochko, Assistant Professor, Ohio State University, Ph.D. 2004 (minor)

Eunyun Park, Consultant, Boston, Ph.D. 2003 (chair)

Pawan Dhinga, Associate Professor, Oberlin College, Ph.D. 2002 (chair)

Yang Cao, Associate Professor of Sociology, University of North Carolina, Charlotte, Ph.D. 2000 (chair)

Jayati Lal, Assistant Professor, Department of Sociology, University of Michigan, Ph.D. 1998 (chair)

Rebecca C. Matthews, U.S. Census Bureau, Ph.D. 1998 (chair)

Lisa A. Keister, Professor, Department of Sociology, Duke University, Ph.D. 1997 (chair)

David Dornisch, Senior Social Science Analyst, U.S. Government Accountability Office, 1997 (chair)

James Elliott Mason, Investment Banker, Ph.D. 1996 (chair)

Banoo J. Parpia, Director of the International Development Office, Cornell University, Ph.D. 1995 (chair)

Olympia Kaminioti, Director Labor Market Projection Department, Athens, Greece, Ph.D. 1994 (chair)

Scott Wilson, Professor, University of the South, Ph.D. 1994 (minor)

Paul Ingram, Professor, Business School, Columbia University, Ph.D. 1994 (chair)

David Sijin Su, Shanghai, International Vice President (American cheese manufacturer) Ph.D. 1994 (chair)